IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jason SCOTT et al. **Examiner:** CHEUNG, Mary

App. No.: 09/955,394 Group Art Unit: 3694

Filed: September 17, 2001 Conf. No.: 1548

For: Enhancing Utility and Diversifying

MODEL RISK IN A PORTFOLIO **OPTIMIZATION FRAMEWORK**

Docket No.: FINA-000410

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

STATUS OF APPLICATION

Sir:

Applicant respectfully requests the status of the above-identified patent application. Payment of the Issue Fee was submitted on June 13, 2007 via EFS-Web, and we have yet to receive an Issue Notification, which we would have expected between six to eight weeks of payment of the Issue Fee.

Dated: November 26, 2007

Respectfully submitted,

Hamilton, DeSanctis & Cha LLP

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